



Audit and Risk Management Committee

Date: TUESDAY, 5 OCTOBER 2021

Time: 2.00 pm

Venue: HYBRID PUBLIC MEETING (ACCESSIBLE REMOTELY)
Committee Rooms 3 & 4

Members:	Alexander Barr (Chairman)	Deputy Jamie Ingham Clark (Ex-Officio Member)
	Hilary Daniels (Deputy Chairman)	Gail (External Member)
	Alderman Prem Goyal (Deputy Chairman)	Paul Martinelli
	Rehana Ameer	Jeremy Mayhew (Ex-Officio Member)
	Randall Anderson	Deputy Andrien Meyers
	Chris Boden	John Petrie
	Anne Fairweather	Ruby Sayed
	Marianne Fredericks (Ex-Officio Member)	Dan Worsley (External Member)

Enquiries: Ben Dunleavy
ben.dunleavy@cityoflondon.gov.uk

Accessing the virtual public meeting

Members of the public can observe this virtual public meeting at the below link:
<https://youtu.be/E8CtA6fqZ5E>

This meeting will be a virtual meeting and therefore will not take place in a physical location. Any views reached by the Committee today will have to be considered by the Chamberlain after the meeting in accordance with the Court of Common Council's Covid Approval Procedure who will make a formal decision having considered all relevant matters. This process reflects the current position in respect of the holding of formal Local Authority meetings and the Court of Common Council's decision of 15th April 2021 to continue with virtual meetings and take formal decisions through a delegation to the Town Clerk and other officers nominated by him after the informal meeting has taken place and the will of the Committee is known in open session. Details of all decisions taken under the Covid Approval Procedure will be available on line via the City Corporation's webpages.

A recording of the public meeting will be available via the above link following the end of the public meeting for up to one municipal year. Please note: Online meeting recordings

do not constitute the formal minutes of the meeting; minutes are written and are available on the City of London Corporation's website. Recordings may be edited, at the discretion of the proper officer, to remove any inappropriate material.

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**

2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES OF THE PREVIOUS MEETING**

To agree the public minutes and non-public summary of the previous meeting held on Tuesday, 13 July 2021.

For Decision
(Pages 7 - 12)

4. **OUTSTANDING ACTIONS OF THE COMMITTEE**

Member are asked to note the Committee's Outstanding Actions List.

For Information
(Pages 13 - 14)

5. **COMMITTEE WORK PROGRAMME**

Member are asked to note the Committee's Work Programme.

For Decision
(Pages 15 - 16)

Governance

6. **ANNUAL GOVERNANCE STATEMENT 2020/21**

Joint Report of the Town Clerk and Chief Executive and the Chamberlain.

For Decision
(Pages 17 - 50)

Internal Audit

7. **INTERNAL AUDIT PLAN DELIVERY UPDATE – QUARTERLY REPORT**

Report of the Head of Audit and Risk Management.

For Information
(Pages 51 - 56)

Risk Management

8. RISK MANAGEMENT UPDATE

Report of the Chamberlain.

For Information
(Pages 57 - 84)

9. DEEP DIVE RISK REVIEWS

For Information

a) Deep Dive: CR20: Road Safety (DBE) (Pages 85 - 94)

b) Deep Dive: CR33 Major Capital Projects (Pages 95 - 102)

10. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE

11. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

12. EXCLUSION OF THE PUBLIC

MOTION, that – under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

13. NON-PUBLIC MINUTES OF THE PREVIOUS MEETING

To agree the non-public minutes of the previous meeting held on Tuesday, 13 July 2021.

For Decision
(Pages 103 - 104)

14. NON-PUBLIC APPENDIX

Non-public appendix to be read in conjunction with Item 8.

For Information
(Pages 105 - 106)

15. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**
16. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**